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Image# 201605169015511848

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

| FORM 3X F | or Other Than An Auth | orized Committee | Office U | Jse Only |
|---|---|--|--|--|
| 1. NAME OF COMMITTEE (in full) | TYPE OR PRINT ▼ | Example: If typing, type over the lines. | 12FE4M5 | |
| Credit Suisse Securitie | s (USA) LLC Political | Action Committee | | |
| | | | | |
| ADDRESS (number and street) | 1201 F Street, NW Suite 450 | | | |
| Check if different than previously reported. (ACC) | Washington | | DC 2000 | 04 |
| 2. FEC IDENTIFICATION NU | MBER ▼ CITY | ′ ▲ | STATE A | ZIP CODE ▲ |
| C C00111559 | 3. IS | THIS X NEW (N) OR | AMENDED (A) | |
| 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Quarterly Report (Non-election Year Only) (MY) Termination Report (TER) | Report Due On: Mar 2 Apr 2 (c) 12-Day PRE-Election Report for the: (d) 30-Day POST-Election Report for the: | General (30G) | Aug 20 (M8) Sep 20 (M9) Oct 20 (M10 General (12G) Special (12S) Runoff (30R) | in the State of Special (30S) In the State of Special (30S) |
| 5. Covering Period 04 | | | | State of 016 |
| I certify that I have examined thi | • | my knowledge and belief it is tr | rue, correct and comple | ete. |
| Type or Print Name of Treasurer | Cecilia Guarin | | | |
| Signature of Treasurer Cecili | a Guarin | [Electronically Filed] | | 6 2016 |
| NOTE: Submission of false, errone | eous, or incomplete information | may subject the person signing | this Report to the penal | ties of 2 U.S.C. §437g. |
| Office Use | | | | C FORM 3X Rev. 12/2004 |

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period: From: 04 01 2016 To: 04 30 2016

| | | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|-----|--|-------------------------|-----------------------------------|
| 6. | (a) Cash on Hand January 1, 2016 | | 316582.93 |
| | (b) Cash on Hand at Beginning of Reporting Period | 334019.71 | |
| | (c) Total Receipts (from Line 19) | 27877.51 | 107814.29 |
| | (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 361897.22 | 424397.22 |
| 7. | Total Disbursements (from Line 31) | 0.00 | 62500.00 |
| 8. | Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 361897.22 | 361897.22 |
| 9. | Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. | Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

| I. Receipts | I. Receipts COLUMN A Total This Period | | | |
|---|--|-----------|--|--|
| . Contributions (other than loans) From: | | | | |
| (a) Individuals/Persons Other | | | | |
| Than Political Committees | 40500.40 | | | |
| (i) Itemized (use Schedule A) | 16598.42 | 52166.55 | | |
| (ii) Unitemized | 11279.09 | 55647.74 | | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii)▶ | 27877.51 | 107814.29 | | |
| (b) Political Party Committees | 0.00 | 0.00 | | |
| (c) Other Political Committees | 0.00 | 0.00 | | |
| (such as PACs)(d) Total Contributions (add Lines | | | | |
| 11(a)(iii), (b), and (c)) (Carry | | | | |
| Totals to Line 33, page 5) | 27877.51 | 107814.29 | | |
| 2. Transfers From Affiliated/Other | | | | |
| Party Committees | 0.00 | 0.00 | | |
| Tarty Committees | | | | |
| 3. All Loans Received | 0.00 | 0.00 | | |
| _ | | | | |
| 1. Loan Repayments Received | 0.00 | 0.00 | | |
| 5. Offsets To Operating Expenditures | | | | |
| (Refunds, Rebates, etc.) | | | | |
| (Carry Totals to Line 37, page 5) | 0.00 | 0.00 | | |
| 6. Refunds of Contributions Made | | | | |
| to Federal Candidates and Other | | | | |
| Political Committees | 0.00 | 0.00 | | |
| 7. Other Federal Receipts | | | | |
| (Dividends, Interest, etc.) | 0.00 | 0.00 | | |
| 3. Transfers from Non-Federal and Levin Funds | | | | |
| (a) Non-Federal Account | | | | |
| (from Schedule H3) | 0.00 | 0.00 | | |
| | | | | |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 | | |
| (c) Total Transfers (add 18(a) and 18(b)) | 0.00 | 0.00 | | |
| D. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 27877.51 | 107814.29 | | |
| | 7 | | | |
|). Total Federal Receipts | 27077 54 | 407044.00 | | |
| (subtract Line 18(c) from Line 19)▶ | 27877.51 | 107814.29 | | |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date | | |
|---|-------------------------------|-----------------------------------|--|--|
| Operating Expenditures: (a) Allocated Federal/Non-Federal | | Tallian Tour to puto | | |
| Activity (from Schedule H4) | 0.00 | 0.00 | | |
| (i) Federal Share | | | | |
| (ii) Non-Federal Share | 0.00 | 0.00 | | |
| (b) Other Federal Operating | 0.00 | 0.00 | | |
| Expenditures(c) Total Operating Expenditures | 0.00 | 0.00 | | |
| (add 21(a)(i), (a)(ii), and (b))▶ | 0.00 | 0.00 | | |
| . Transfers to Affiliated/Other Party | | | | |
| Committees | 0.00 | 0.00 | | |
| Federal Candidates/Committees and Other Political Committees | 0.00 | 62500.00 | | |
| Independent Expenditures | | | | |
| (use Schedule E) | 0.00 | 0.00 | | |
| . Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F) | 0.00 | | | |
| (use Schedule F) | 0.00 | 0.00 | | |
| Loan Repayments Made | 0.00 | 0.00 | | |
| - I a a a a a a a a a a a a a a a a a a | | | | |
| Loans Made | 0.00 | 0.00 | | |
| (a) Individuals/Persons Other | 0.00 | 0.00 | | |
| Than Political Committees | 0.00 | 0.00 | | |
| (b) Political Party Committees | 0.00 | 0.00 | | |
| (c) Other Political Committees | | | | |
| (such as PACs) | 0.00 | 0.00 | | |
| (d) Total Contribution Refunds | | | | |
| (add Lines 28(a), (b), and (c))▶ | 0.00 | 0.00 | | |
| | | | | |
| . Other Disbursements | 0.00 | 0.00 | | |
| Federal Election Activity (2 U.S.C. §431(20)) | | | | |
| (a) Allocated Federal Election Activity | | | | |
| (from Schedule H6) | | | | |
| (i) Federal Share | 0.00 | 0.00 | | |
| (ii) "Levin" Share | 0.00 | 0.00 | | |
| (b) Federal Election Activity Paid Entirely | | | | |
| With Federal Funds | 0.00 | 0.00 | | |
| (c) Total Federal Election Activity (add | 0.00 | 222 | | |
| Lines 30(a)(i), 30(a)(ii) and 30(b))▶ | 0.00 | 0.00 | | |
| Total Disbursements (add Lines 21(c), 22, | | | | |
| 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 0.00 | 62500.00 | | |
| L | | 7 | | |
| Total Federal Disbursements | | | | |
| (subtract Line 21(a)(ii) and Line 30(a)(ii) | 0.00 | 00500.00 | | |
| from Line 31) | 0.00 | 62500.00 | | |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| 1 LO 1 OIIII 3X (Nev. 02/2003) | | i age 3 |
|---|----------|----------------|
| III. Net Contributions/Operating Expenditures | | |
| 3. Total Contributions (other than loans) (from Line 11(d), page 3) | 27877.51 | 107814.29 |
| 4. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 5. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 27877.51 | 107814.29 |
| 6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶ | 0.00 | 0.00 |
| 7. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 3. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 6 OF 45 Use separate schedule(s)

| TEMIZED RECEIPTS | for each category of the Detailed Summary Page | X 11a |
|---|---|--|
| Any information copied from such Reports and S or for commercial purposes, other than using the | | |
| NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) | LLC Political Action Committee | |
| Full Name (Last, First, Middle Initial) Karen M Snow Mailing Address 11 Madison Ave City New York FEC ID number of contributing federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: Primary General Other (specify) | State Zip Code NY 10010 C Occupation Investment Banking Aggregate Year-to-Date ▼ 291.69 | Date of Receipt 04 15 2016 Transaction ID: 14036121 Amount of Each Receipt this Period 41.67 Memo Item |
| Full Name (Last, First, Middle Initial) Karen M Snow Mailing Address 11 Madison Ave City New York FEC ID number of contributing federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: Primary General Other (specify) | State Zip Code NY 10010 C Occupation Investment Banking Aggregate Year-to-Date ▼ 333.28 | Date of Receipt 04 30 2016 Transaction ID: 14043714 Amount of Each Receipt this Period 41.59 Memo Item |
| Full Name (Last, First, Middle Initial) Thomas T. Chung Mailing Address 11 Madison Ave City New York FEC ID number of contributing federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: Primary General Other (specify) | State Zip Code NY 10010 C Occupation Investment Banking Aggregate Year-to-Date ▼ 333.36 | Date of Receipt 04 30 2016 Transaction ID: PR113243511832 Amount of Each Receipt this Period 83.34 P/R Deduction (\$41.67 Semi-Monthly) |
| SUBTOTAL of Receipts This Page (optional) | | 166.60 |
| TOTAL This Period (last page this line number | only) | |

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 7 OF 45 Use separate schedule(s)

| TEMIZED RECEIPTS | for each category of the Detailed Summary Page | X 11a |
|--|---|---|
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| NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) | LLC Political Action Committee | |
| Full Name (Last, First, Middle Initial) David S Alterman Mailing Address 11 Madison Ave City New York FEC ID number of contributing federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: Primary General Other (specify) | State Zip Code NY 10010 C Occupation Investment Banking Aggregate Year-to-Date ▼ 333.36 | Date of Receipt 04 30 2016 Transaction ID: PR113243611832 Amount of Each Receipt this Period 83.34 P/R Deduction (\$41.67 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) Gerald M Lodge Mailing Address 11 Madison Ave City New York FEC ID number of contributing | State Zip Code NY 10010 | Date of Receipt M M M |
| federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: Primary General Other (specify) | C Occupation Investment Banking Aggregate Year-to-Date ▼ 833.28 | P/R Deduction (\$104.16 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) Douglas L Paul Mailing Address 11 Madison Ave City New York FEC ID number of contributing federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: Primary General Other (specify) | State Zip Code NY 10010 C Occupation Investment Banking Aggregate Year-to-Date ▼ 833.36 | Date of Receipt M M M J 30 2016 Transaction ID: PR113252511832 Amount of Each Receipt this Period 208.34 P/R Deduction (\$104.17 Semi-Monthly) |
| SUBTOTAL of Receipts This Page (optional) | | 500.00 |
| TOTAL This Period (last page this line number | only) | |

FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) LLC Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey A. Cohen Date of Receipt Mailing Address 11 Madison Ave 04 30 2016 City Zip Code State Transaction ID: PR113253911832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.67 Semi-Monthly) 333.36 Other (specify) Full Name (Last, First, Middle Initial) **B.** John C Robertshaw Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City State Zip Code Transaction ID: PR113254311832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.66 Semi-Monthly) Other (specify) 333.28 Full Name (Last, First, Middle Initial) c. Lewis H Wirshba Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City Zip Code State Transaction ID: PR113254611832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing С 208.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$104.16 Semi-Monthly) 833.28 Other (specify) 374.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) LLC Political Action Committee Full Name (Last, First, Middle Initial) Paul J O'Keefe Date of Receipt Mailing Address 11 Madison Ave 04 30 2016 City Zip Code State Transaction ID: PR113256711832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.66 Semi-Monthly) 333.28 Other (specify) Full Name (Last, First, Middle Initial) B. James Captain Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City State Zip Code Transaction ID: PR113258411832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing 83.34 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.67 Semi-Monthly) Other (specify) 333,36 Full Name (Last, First, Middle Initial) c. Philip S Vasan Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City Zip Code State Transaction ID: PR113260811832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing С 208.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$104.16 Semi-Monthly) 833.28 Other (specify) 374.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

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FOR LINE NUMBER: PAGE 12 OF 45 Use separate schedule(s) (check only one) X 11a 11b 12 11c 13 14 15 16

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FOR LINE NUMBER: PAGE 13 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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FOR LINE NUMBER: PAGE 14 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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FOR LINE NUMBER: PAGE 15 OF 45 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) LLC Political Action Committee Full Name (Last, First, Middle Initial) Andrew B Federbusch Date of Receipt Mailing Address 11 Madison Ave 04 30 2016 City Zip Code State Transaction ID: PR113299911832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$50.00 Semi-Monthly) 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. James L Amine Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City State Zip Code Transaction ID: PR123642711832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing 416.68 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$208.34 Semi-Monthly) Other (specify) 1666.72 Full Name (Last, First, Middle Initial) **c.** Nicole S Arnaboldi Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City Zip Code State Transaction ID: PR123642811832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing С 83.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.66 Semi-Monthly) 333.28 Other (specify) 600.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

| | FOR LINE NUMBER: | PAGE | 16 OF 45 | | | | | |
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| for each category of the Detailed Summary Page | X 11a 11b | 11c | 12 | | | | | |
| | 13 14 | 15 | 16 17 | | | | | |

| | Statements may not be sold or used by any pers e name and address of any political committee to | |
|---|--|---------------------------------------|
| NAME OF COMMITTEE (In Full) | 1100 111 11 11 11 | |
| Credit Suisse Securities (USA) | LLC Political Action Committee | |
| Full Name (Last, First, Middle Initial) A. Robert L Basso | | Date of Receipt |
| Mailing Address 11 Madison Ave | | 04 30 _ 2016 _ |
| City | State Zip Code | Transaction ID : PR123643411832 |
| New York | NY 10010 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 208.32 |
| Name of Employer | Occupation | Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 833.28 | P/R Deduction (\$104.16 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) Stephen R Dunne | | Date of Receipt |
| Mailing Address 11 Madison Ave | | 04 30 2016 |
| City | State Zip Code | Transaction ID : PR123648111832 |
| New York | NY 10010 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | 208.32 |
| Name of Employer | Occupation | Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 833.28 | P/R Deduction (\$104.16 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) C. Stephen E. Gray | | Date of Receipt |
| Mailing Address 11 Madison Ave | | 04 30 _ 2016 _ |
| City | State Zip Code | Transaction ID : PR123650811832 |
| New York | NY 10010 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 208.32 |
| Name of Employer | Occupation | Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 833.28 | P/R Deduction (\$104.16 Semi-Monthly) |
| SUBTOTAL of Receipts This Page (optional) | ····· | 624.96 |
| TOTAL This Period (last page this line number | only) | |

FOR LINE NUMBER: PAGE 17 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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FOR LINE NUMBER: PAGE 18 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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FOR LINE NUMBER: PAGE 19 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) LLC Political Action Committee Full Name (Last, First, Middle Initial) Anthony Blasi Date of Receipt Mailing Address 11 Madison Ave 04 30 2016 City Zip Code State Transaction ID: PR126912211832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.66 Semi-Monthly) 333.28 Other (specify) Full Name (Last, First, Middle Initial) B. Dean Wilson Ervin Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City State Zip Code Transaction ID: PR126913911832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing 444.44 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$222.22 Semi-Monthly) Other (specify) 444,46 Full Name (Last, First, Middle Initial) c. Stephen D Simberg Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City Zip Code State Transaction ID: PR126926711832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing С 83.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.66 Semi-Monthly) 333.28 Other (specify) 611.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) LLC Political Action Committee Full Name (Last, First, Middle Initial) Timothy D Bock Date of Receipt Mailing Address 11 Madison Ave 04 30 2016 City Zip Code State Transaction ID: PR126927711832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing C 208.34 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$104.17 Semi-Monthly) 833.36 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy P O'Hara Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City State Zip Code Transaction ID: PR126928011832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$208.33 Semi-Monthly) Other (specify) 1666.64 Full Name (Last, First, Middle Initial) c. David Scott Lindsay Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City Zip Code State Transaction ID: PR178715111832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing С 208.34 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$104.17 Semi-Monthly) 833.36 Other (specify) 833.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

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| for each category of the Detailed Summary Page | X 11a 11b 11c | 12 | | | | | | |
| | 13 14 15 | □ 16 □ 17 | | | | | | |

| | the name and address of any political committee t | |
|---|---|---|
| NAME OF COMMITTEE (In Full) Credit Suisse Securities (US | A) LLC Political Action Committee | |
| Full Name (Last, First, Middle Initial) Victoria A Longo | | Date of Receipt |
| Mailing Address 11 Madison Ave | | 04 30 2016 |
| City | State Zip Code | Transaction ID : PR178715711832 |
| New York | NY 10010 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 83.32 |
| Name of Employer | Occupation | Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 333.28 | P/R Deduction (\$41.66 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) 3. Richard S Jaffe | | Date of Receipt |
| Mailing Address 11 Madison Ave | | 04 30 2016 |
| City | State Zip Code | Transaction ID : PR178760411832 |
| New York | NY 10010 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | 83.32 |
| Name of Employer | Occupation | Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 333,28 | P/R Deduction (\$41.66 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) Christopher Carter | <u> </u> | Date of Receipt |
| Mailing Address 11 Madison Ave | | 04 30 _ 2016 _ |
| City New York | State Zip Code NY 10010 | Transaction ID : PR178845011832 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 55.00 |
| Name of Employer | Occupation | - Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: | Aggregate Year-to-Date ▼ | 1 |
| Primary General Other (specify) ▼ | 220.00 | P/R Deduction (\$27.50 Semi-Monthly) |
| SUBTOTAL of Receipts This Page (optional |) | 221.64 |
| TOTAL This Period (last page this line num | ber only) | |

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| for each category of the Detailed Summary Page | | 11a | | 11b | | 11c | | 12 | | |
| | | 13 | | 14 | | 15 | | 16 | | 17 |

| | the name and address of any political committee t | |
|---|---|---------------------------------------|
| NAME OF COMMITTEE (In Full) Credit Suisse Securities (US | A) LLC Political Action Committee | |
| Full Name (Last, First, Middle Initial) Mark D Callahan | Date of Receipt | |
| Mailing Address 11 Madison Ave | 04 30 / Y = Y = Y = Y | |
| City | State Zip Code | Transaction ID : PR226207711832 |
| New York | NY 10010 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 83.34 |
| Name of Employer | Occupation | Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 333.36 | P/R Deduction (\$41.67 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) 3. Timothy J Scanlon | | Date of Receipt |
| Mailing Address 11 Madison Ave | | 04 30 2016 |
| City | State Zip Code | Transaction ID : PR330286811832 |
| New York | NY 10010 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 83.32 |
| Name of Employer | Occupation | Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 333.28 | P/R Deduction (\$41.66 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) C. Sean T Brady | <u> </u> | Date of Receipt |
| Mailing Address 11 Madison Ave | | 04 30 2016 |
| City | State Zip Code | Transaction ID : PR330613711832 |
| New York | NY 10010 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 444.44 |
| Name of Employer | Occupation | - Memo Item |
| Credit Suisse Securities (USA) LLC | Investment Banking | |
| Receipt For: | Aggregate Year-to-Date ▼ | 1 |
| Primary General Other (specify) ▼ | 444.44 | P/R Deduction (\$222.22 Semi-Monthly) |
| SUBTOTAL of Receipts This Page (optional | l) > | 611.10 |
| TOTAL This Period (last page this line num | ber only) | |

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FOR LINE NUMBER: PAGE 26 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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FOR LINE NUMBER: PAGE 27 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 13 14 15 16

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FOR LINE NUMBER: PAGE 28 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) LLC Political Action Committee Full Name (Last, First, Middle Initial) Christopher L Young Date of Receipt Mailing Address 11 Madison Ave 30 2016 City Zip Code State Transaction ID: PR680911711832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.66 Semi-Monthly) 333.28 Other (specify) Full Name (Last, First, Middle Initial) B. Mark S Simonian Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City State Zip Code Transaction ID: PR680911911832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.66 Semi-Monthly) Other (specify) 333.28 Full Name (Last, First, Middle Initial) Jay Kim Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City Zip Code State Transaction ID: PR711935411832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing С 208.32 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼

833.28

General

SUBTOTAL of Receipts This Page (optional).....

P/R Deduction (\$104.16 Semi-Monthly)

Primary

Other (specify)

SCHEDULE A (FEC Form 3X)

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| NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) | LLC Political Action Committee | |
| Full Name (Last, First, Middle Initial) Jessica A Mandel Mailing Address 11 Madison Ave City New York FEC ID number of contributing federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: Primary General Other (specify) | State Zip Code NY 10010 C Occupation Investment Banking Aggregate Year-to-Date ▼ 666.64 | Date of Receipt 04 30 2016 Transaction ID: PR750863611832 Amount of Each Receipt this Period 166.66 Memo Item P/R Deduction (\$83.33 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) John D Edwards Mailing Address 11 Madison Ave City New York FEC ID number of contributing federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: | State Zip Code NY 10010 C Occupation Investment Banking Aggregate Year-to-Date ▼ | Date of Receipt 04 30 2016 Transaction ID: PR750864511832 Amount of Each Receipt this Period 58.34 Memo Item |
| Primary General Other (specify) ▼ | 233.36 | P/R Deduction (\$29.17 Semi-Monthly) |
| Full Name (Last, First, Middle Initial) Christopher W Gaertner Mailing Address 11 Madison Ave City New York FEC ID number of contributing federal political committee. Name of Employer Credit Suisse Securities (USA) LLC Receipt For: Primary General Other (specify) | State Zip Code NY 10010 C Occupation Investment Banking Aggregate Year-to-Date ▼ 333.28 | Date of Receipt 04 30 2016 Transaction ID: PR750864611832 Amount of Each Receipt this Period 83.32 Memo Item P/R Deduction (\$41.66 Semi-Monthly) |
| SUBTOTAL of Receipts This Page (optional) | | 308.32 |
| TOTAL This Period (last page this line number | only) | |

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Credit Suisse Securities (USA) LLC Political Action Committee Full Name (Last, First, Middle Initial) David H Hammond Date of Receipt Mailing Address 11 Madison Ave 04 30 2016 City Zip Code State Transaction ID: PR830059611832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing C 208.34 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$104.17 Semi-Monthly) 833.36 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin E Lowe Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City State Zip Code Transaction ID: PR830060111832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing 208.34 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$104.17 Semi-Monthly) Other (specify) 833,36 Full Name (Last, First, Middle Initial) c. Bret P. Jacknow Date of Receipt Mailing Address 11 Madison Ave 30 04 2016 City Zip Code State Transaction ID: PR850014611832 NY New York 10010 Amount of Each Receipt this Period FEC ID number of contributing С 83.34 federal political committee. Memo Item Name of Employer Occupation Credit Suisse Securities (USA) LLC Investment Banking Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$41.67 Semi-Monthly) 333.36 Other (specify) 500.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

FOR LINE NUMBER: PAGE 43 OF 45 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 13 14 15 16

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